

10/521528

DT09 Rec'd PCT/PTO 13 JAN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Ronald Kakoschke ET AL.

Appln. No.: Not yet assigned

Filed: Herewith

For: FIELD EFFECT TRANSISTOR,  
ASSOCIATED USE, AND  
ASSOCIATED PRODUCTION  
METHOD

Attorney Docket No: 10808/208

Examiner: Not yet assigned

Art Unit: N/A

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
US 2001/0036705	November 1, 2001	Nishida et al.
<b>FOREIGN PATENT DOCUMENTS</b>		
DOCUMENT NUMBER	DATE	COUNTRY
WO 01/69684	September 9, 2001	WO
WO 99/43029	August 26, 1999	WO
EP 0 872 895 A2	October 21, 1998	EPO
<b>OTHER ART – NON PATENT LITERATURE DOCUMENTS</b>		
Copy of International Search Report from corresponding PCT Application Number PCT/DE03/01957.		
Copy of International Examination Report from corresponding PCT Application Number PCT/DE03/01957.		

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

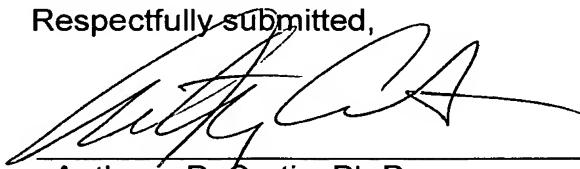
By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed

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references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,



Anthony P. Curtis, Ph.D.  
(Reg. No. 46,193)

1/13/05  
Date

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FORM PTO-1449	SERIAL NO. Not yet assigned	10/521528 10808/208
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE Herewith	GROUP ART UNIT Not yet assigned
(use several sheets if necessary)	APPLICANT(S): Ronald Kakoschke et al.	

**REFERENCE DESIGNATION**      **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A2	WO 01/69684	September 9, 2001	WO		
	A3	WO 99/43029	August 26, 1999	WO		
	A4	EP 0 872 895 A2	October 21, 1998	EPO		

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)	
	A5	Copy of International Search Report from corresponding PCT Application Number PCT/DE03/01957.
	A6	Copy of International Examination Report from corresponding PCT Application Number PCT/DE03/01957.

**EXAMINER** \_\_\_\_\_ **DATE CONSIDERED** \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;  
Draw line through citation if not in conformance and not considered. Include copy of this form with  
next communication to applicant.